

Cryptanalysis of Multivariate Public Key Schemes

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The Bottom Line of This Talk:

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- **RSA** - the original is still the best! Don't use substitutes!

Univariate vs Multivariate Cryptography

- The security of univariate schemes depends on the difficulty of solving **a single algebraic equation in a single variable** over **a large domain**, such as: $y = x^2 \pmod{n}$

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- The security of this problem is related to the difficulty of factoring n , which is at most **subexponential**.

Univariate vs Multivariate Cryptography

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- $y_1 = x_1x_2 + x_2x_2 + x_2x_3 + x_3x_3$
- $y_2 = x_1x_1 + x_2x_3 + x_3x_3$
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- This problem is **NP-complete** even for a system of quadratic equations modulo 2.
- Such schemes are **faster than RSA on weak processors**, and in addition **no polynomial time quantum algorithms are known** for solving large systems of algebraic equations.

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- It was based on the dual representation of elements of a **large extension field \mathbb{F}_{q^n}** as vectors of values over the **base field \mathbb{F}_q** :

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- Any **single univariate f** over \mathbb{F}_{q^n} can thus be represented by **n multivariate algebraic mappings $y_i = f_i(x_1, x_2, \dots, x_n)$** over \mathbb{F}_q .

The Matsumoto-Imai scheme (MI)

- The starting point of the MI scheme is the RSA-like univariate exponentiation $\mathbf{x} \mapsto \mathbf{y} = \mathbf{x}^e$ over the extension field \mathbb{F}_{q^n} , which has an easily computable inverse mapping of the same form $\mathbf{y} \mapsto \mathbf{x} = \mathbf{y}^d$.

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- Problem: the representation of such a univariate \mathbf{f} over \mathbb{F}_{q^n} by their components \mathbf{f}_i 's over \mathbb{F}_q may contain **huge multivariate polynomials of very high degree**, which are very hard to represent and to evaluate.

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- $x^e = x^{q^\theta + q^\eta} = x^{q^\theta} \cdot x^{q^\eta}$ is thus the product of two linear mappings, which can be represented by a system of n **quadratic expressions** in the n **variables** x_i .
- When the base field is \mathbb{F}_2 : raising x to the powers **2, 4, 8**, etc are represented by **linear polynomials** f_j ; raising x to the powers **3, 5, 6** etc are represented by **quadratic polynomials**; raising x to the powers **7, 11, 14** etc are represented by **cubic polynomials**; and so on.

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- Matsumoto and Imai proposed using the binary base field \mathbb{F}_q with $q = 2$ or $q = 2^t$ and encryption exponents of the form $e = q^\theta + 1$.
- Unlike the RSA scheme, the size $q^n - 1$ of the multiplicative group of \mathbb{F}_{q^n} is **known**, and thus **anyone** can compute d from e . MI thus based the security of the scheme on the different principle of **mapping obfuscation**.

The MI scheme: Mapping Obfuscation

- For example, assume that the univariate exponentiation $F = x^5$ has the multivariate quadratic form:
- $y_1 = x_1x_1 + x_1x_3 + x_2x_3 + x_3x_3$
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- to get a different looking system of quadratic relations:
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- The public key of the MI scheme is $P = T \circ F \circ U$ where $F(x) = x^{q^\theta + 1}$ over \mathbb{F}_{q^n} . WLG we can assume that $e = q^\theta + 1$ is known, since θ can have at most n possible values.

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- The secret key is the pair of linear mappings U and T ; Since it is easy to invert both the exponentiation and the linear mappings, anyone who knows the secret key can easily invert P , but for someone who does not know the secret key the problem seems to be hard.

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- Such truncated keys can be used in **signature schemes** but not in **encryption schemes**, since they cannot be inverted uniquely.

Recommended Parameters for SFLASH

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- The first version of SFLASH, called SFLASH^{v1}, had a subtle bug which was discovered by Gilbert and Minier. It was replaced by two versions (SFLASH^{v2} and SFLASH^{v3}). They differ only in their recommended security parameters:
- for SFLASH^{v2} : **$q = 2^7$, $n = 37$, $\theta = 11$ and $r = 11$**
- for SFLASH^{v3} : **$q = 2^7$, $n = 67$, $\theta = 33$ and $r = 11$**

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- This is information theoretically possible, since **T** and **U** contain a quadratic number of unknown entries, whereas the MI public key contains a cubic number of coefficients. In fact, the **first few published quadratic equations** should suffice to uniquely determine **T** and **U** .

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- The problem is how to **recover the missing equations** in a computationally efficient way.

The Basic Idea of the Attack

- Consider the public key

$$P_{\Pi} = \Pi \circ T \circ F \circ U$$

Each row in T randomly samples the linear space V spanned by the n quadratic expressions generated by $F \circ U$, but only $n - r$ of the n samples are published.

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- If we could replace T by another random T' before the truncation, we would get additional samples from V . By repeating this with several random T' , we could transform even a single given equation into n linearly independent quadratic equations in V , which correspond to some other possible obfuscating matrix T'' , and then apply Patarin's attack to this reconstructed Cheshire cat!

Finding Additional Elements in V

- We want to recreate the missing parts in T , but we can not do it from the output side. Instead, we will use **laparoscopy**, operating on the output transformation T in $P_{\Pi} = \Pi \circ T \circ F \circ U$ **from the input side!**

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- Assume that we could multiply the input of F by some element $\xi \in \mathbb{F}_{q^n}$. Since $F = x^{q^{\theta}+1}$, this would multiply the output of F by another constant $\eta = \xi^{q^{\theta}+1} \in \mathbb{F}_{q^n}$.

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- Since multiplications by a field constant is a linear operation, its effect over \mathbb{F}_q can be described by matrix multiplication. Denote these matrices for the input and output multiplications by M and K , respectively:

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- This **randomizes** T into $T' = T \circ K!$

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- Let Q be the space containing all the possible quadratic expressions, let V be the linear subspace spanned by the quadratic expressions in $T \circ F \circ U$, and let V_{Π} be the linear subspace spanned by the quadratic expressions in $\Pi \circ T \circ F \circ U$.

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- The dimension of Q is about $n^2/2$, the dimension of V is n , and the dimension of V_{Π} is $n - r$. For **SFLASH^{v3}**, the parameters are $n = 67$ and $r = 11$, and thus the dimensions of the three subspaces are:

$$V_{\Pi}(\dim = 56) \subset V(\dim = 67) \subset Q(\dim = 2278)$$

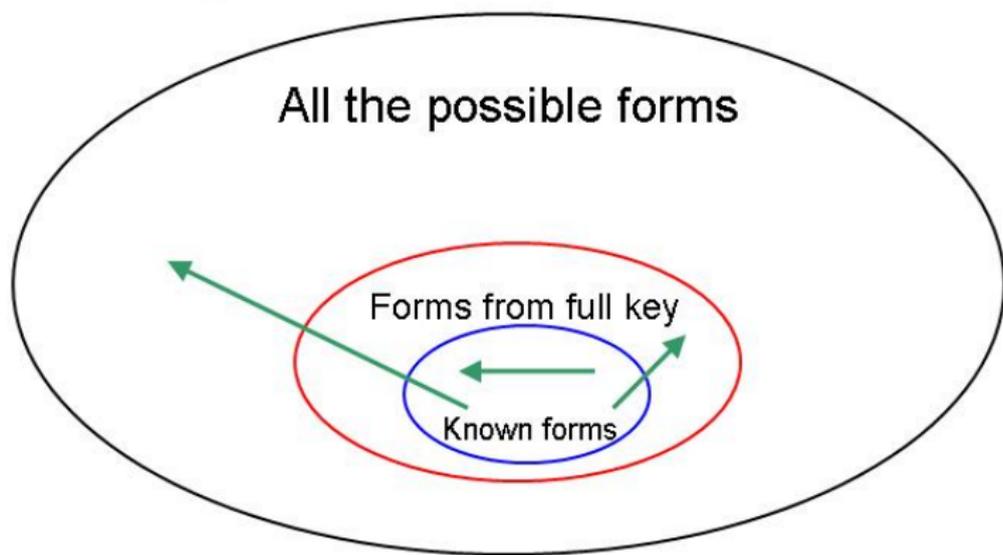
The Basic Idea of the Attack

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- Note that \mathbf{V}_{Π} is a large subspace of \mathbf{V} , but both of them are tiny subspaces of \mathbf{Q} .

The effect of various linear input transformations:



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- Our goal now is to find a "good matrices" M whose effect on the inputs is equivalent to multiplication by some field constant $\xi \in \mathbb{F}_{q^n}$ after its obfuscation by the unknown U .

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- The last part of the attack will find some good matrices by using the fact that they preserve membership of the output quadratic expressions in V . Since V is so sparse in \mathbb{Q} , "bad matrices" (which do not make algebraic sense over \mathbb{F}_{q^n}) are extremely unlikely to have this property.

Characterizing Good Matrices with Algebraic Equations

- We would like find some good matrices M by solving a system of sufficiently many algebraic equations in its n^2 entries m_{ij} . Such equations are actually easy to derive, but they are quadratic equations, which cannot be solved efficiently.

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- We would like find some good matrices M by solving a system of sufficiently many algebraic equations in its n^2 entries m_{ij} . Such equations are actually easy to derive, but they are quadratic equations, which cannot be solved efficiently.
- To overcome this problem, we have to use calculus in addition to algebra, by using a differential operator to reduce the degree of the resultant algebraic equations.

The Differential Operator

- The **differential DF** of any univariate mapping $F(\mathbf{x})$ is defined as the bivariate mapping $DF(\mathbf{a}, \mathbf{x})$ which is derived from $F(\mathbf{x})$ by the linear operator :

$$DF(\mathbf{a}, \mathbf{x}) = F(\mathbf{a} + \mathbf{x}) - F(\mathbf{a}) - F(\mathbf{x}) + F(\mathbf{0})$$

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- This operation can be carried out either over \mathbb{F}_{q^n} or over \mathbb{F}_q . For example, if one of the expressions in the public key is:

$$x_1 x_2 + x_2 x_2 + x_2 x_3 + x_3 x_3$$

then its differential can be easily computed as:

$$\begin{aligned} & (a_1 + x_1)(a_2 + x_2) + (a_2 + x_2)(a_2 + x_2) + (a_2 + x_2)(a_3 + x_3) + (a_3 + x_3) \\ & - (a_1 a_2 + a_2 a_2 + a_2 a_3 + a_3 a_3) - (x_1 x_2 + x_2 x_2 + x_2 x_3 + x_3 x_3) \\ & = a_1 x_2 + a_2 x_1 + a_2 x_3 + a_3 x_2 \end{aligned}$$

The Differential Operator

- When $F(x) = x^{q^\theta+1}$, we get for all $a, x \in \mathbb{F}_{q^n}$

$$DF(a, x) = ax^{q^\theta} + a^{q^\theta} x.$$

The Differential Operator

- When $F(\mathbf{x}) = \mathbf{x}^{q^\theta+1}$, we get for all $\mathbf{a}, \mathbf{x} \in \mathbb{F}_{q^n}$

$$DF(\mathbf{a}, \mathbf{x}) = \mathbf{a}\mathbf{x}^{q^\theta} + \mathbf{a}^{q^\theta}\mathbf{x}.$$

- Note that this differential transformed the **univariate quadratic expression** $F(\mathbf{x})$ into a **bivariate bilinear expression** $DF(\mathbf{a}, \mathbf{x})$, which is **symmetric in \mathbf{a} and \mathbf{x}** .

The Multiplicative Property of the Differential

- The differential $DF(a, x) = ax^{q^\theta} + a^{q^\theta} x$ of the internal polynomial $F(x) = x^{q^\theta+1}$ has a very interesting **multiplicative property**: For all $\xi \in \mathbb{F}_{q^n}$

$$DF(\xi \cdot a, x) + DF(a, \xi \cdot x) = (\xi + \xi^{q^\theta}) \cdot DF(a, x) \quad (1)$$

- Proof:

$$DF(\xi \cdot a, x) = \xi \cdot ax^{q^\theta} + \xi^{q^\theta} a^{q^\theta} x$$

$$DF(a, \xi \cdot x) = \xi^{q^\theta} \cdot ax^{q^\theta} + \xi \cdot a^{q^\theta} x$$

The sum of these expressions is:

$$(\xi + \xi^{q^\theta}) \cdot (ax^{q^\theta} + a^{q^\theta} x)$$

Using the Multiplicative Property of the Differential

- Note that this is a functional identity and not an equation, so it is true for **any choice of a , x , and ξ** .

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- Note that this is a functional identity and not an equation, so it is true for **any choice of a , x , and ξ** .
- Due to the **linearity** of D , T and Π , the differential of the **obfuscated public key** (which can be easily computed by the attacker) has the same multiplicative property as the secret internal exponentiation.

Using the Multiplicative Property of the Differential

- Each symmetric bilinear form can be seen as a $n(n + 1)/2$ -dimensional vector, where the $a_i x_j$ products act as the dimensions. The linear space of all such forms is denoted by B .

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- Each symmetric bilinear form can be seen as a $n(n + 1)/2$ -dimensional vector, where the $a_i x_j$ products act as the dimensions. The linear space of all such forms is denoted by B .
- DP is a vector of n symmetric bilinear forms, and the space of all their linear combinations is denoted by W . We know only the $n - r$ of these bilinear forms which we can derive by differentiating the public key, and the space of their linear combinations is denoted by W_{Π} . B , W , and W_{Π} are the bilinear analogs of the quadratic spaces Q , V , and V_{Π} , respectively, and their dimensions for SFLASH^{v3} are:

$$W_{\Pi}(\dim = 56) \subset W(\dim = 67) \subset B(\dim = 2278)$$

Using the Multiplicative Property of the Differential

- Matrices M which correspond to obfuscated multiplications satisfy the following multiplicative property:

$$T \circ DF(UMa, Ux) + T \circ DF(Ua, UMx) = C \circ DF(Ua, Ux)$$

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$$T \circ DF(UMa, Ux) + T \circ DF(Ua, UMx) = C \circ DF(Ua, Ux)$$

- To demonstrate how such equations look like, consider the known differential of the first published expression which was $a_1x_2 + a_2x_1 + a_2x_3 + a_3x_2$, and assume that M and C have unspecified entries m_{ij} and c_{ij} . The multiplicative property can then be written as:

$$\begin{aligned} & (m_{11}a_1 + m_{12}a_2 + m_{13}a_3)x_2 + (m_{21}a_1 + m_{22}a_2 + m_{23}a_3)x_1 \\ & + (m_{21}a_1 + m_{22}a_2 + m_{23}a_3)x_3 + (m_{31}a_1 + m_{32}a_2 + m_{33}a_3)x_2 \\ & + a_1(m_{21}x_1 + m_{22}x_2 + m_{23}x_3) + a_2(m_{11}x_1 + m_{12}x_2 + m_{13}x_3) \\ & + a_2(m_{31}x_1 + m_{32}x_2 + m_{33}x_3) + a_3(m_{21}x_1 + m_{22}x_2 + m_{23}x_3) \\ & = c_{11}DP_1 + c_{12}DP_2 + c_{13}DP_3 \end{aligned}$$

Using the Multiplicative Property of the Differential

- Rearranging these expressions, we get:

$$(m_{11} + m_{13})a_1 x_1 + (m_{12} + m_{23} + m_{33})a_1 x_2 + (m_{13} + m_{23})a_1 x_3 + \dots$$
$$= (c_{11} + c_{13})a_1 x_1 + (c_{12} + c_{13})a_1 x_2 + (c_{11} + c_{12} + c_{13})a_1 x_3 + \dots$$

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- When the $a_j x_j$ products are considered as formal place holders, we can equate the coefficients of each product in order to get from this **single functional relationship** a **quadratic number of linear equations** in the quadratic number of unknown values of the m_{ij} and c_{ij} values!

How to Deal with a Truncated Public Key

- When we drop r expressions from the public key of SFLASH, the expression

$$T \circ DF(UMa, Ux) + T \circ DF(Ua, UMx)$$

should definitely be in unknown W , but has a probability of only q^{-r} to be in its known subspace W_{Π} defined by the $n - r$ expressions derived from the public key.

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- This probability (q^{-11} for the recommended parameters) is small, but since there are q^n (q^{67} for the recommended parameters) possible values of ξ , there will **still be many solutions left**. When r is small compared to n , we can use several (**6** for the recommended parameters) such conditions for different published expressions without losing all the "good matrices" M .

Using the Multiplicative Property of the Differential

- Consider once again the expression:

$$T \circ DF(UMa, Ux) + T \circ DF(Ua, UMx) = C \circ DF(Ua, Ux).$$

When M is bad, the probability that it satisfies this relationship is about $q^{-n^2/2}$. Since there are about q^{n^2} bad matrices, we expect that all of them will be eliminated after considering **2-3 conditions**.

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- The attack ends as a Shakespearean tragedy, in a **major bloodbath**: Almost everyone is killed, but there is a race between the few "good matrices" which are eliminated slowly as we add more conditions, and the many "bad matrices" which are eliminated quickly. Our hope is that all the "bad matrices" will be eliminated before we inadvertently kill off all the "good matrices", since we need at least one good matrix to finish our attack.

Dimension of the Solution Spaces

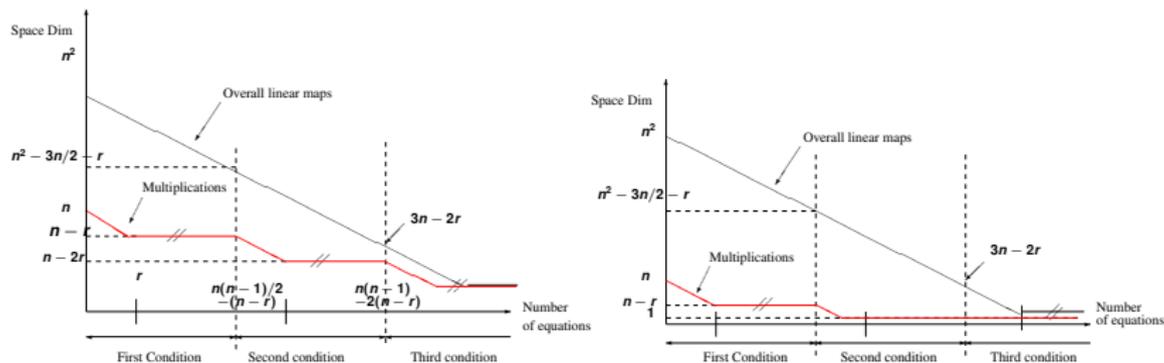


Figure: Evolution of the dimensions of the solution spaces of good and bad matrices when $r < n/3$ and when $r \geq n/3$, as we add more conditions.

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- The attack described so far can deal with any $r < n/3$. In the paper we show how to extend it to deal with any $r < n/2$.
- Note that even without this improvement, our technique is already sufficient to recover non-trivial multiplications for the recommended parameters of SFLASH^{v2} and SFLASH^{v3}, since $r = 11$ is smaller than both $37/3$ and $67/3$

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- Note that both of these computations have to be carried out **only once per public key**, and then Patarin's attack requires **about one second** to forge an actual signature for any given message.

Practical results

n	37	37	67	67	131
θ	11	11	33	33	33
q	2	128	2	128	2
r	11	11	11	11	11
M Recovery	4s	70s	1m	50m	35m
MI Recovery	7.5s	22s	2m	10m	7m
Sig Forgery	0.01s	0.5s	0.02s	2s	0.1s

Conclusions

- Natural mathematical structures are **very persistent**, and once again we have shown that it is **very hard to obfuscate them!**